

DEC 11 2003

**SPECIAL 510(K) NOTIFICATION**  
**COBE Cardiovascular Inc.**  
**SMAR<sub>x</sub>T VVR4000i Plus Filtered Hardshell Venous Reservoir**

K033641

p1/2

**IX. 510(k) SUMMARY**

**SUBMITTER:** COBE Cardiovascular, Inc.  
14401 West 65<sup>th</sup> Way  
Arvada, CO 80004 USA

**CONTACT PERSON:** Lynne Leonard  
Regulatory Affairs, Submissions  
lynne.leonard@cobecv.com  
Phone: (303) 467-6214  
Fax: (303) 467-6529

**DATE PREPARED:** November 6, 2003

**DEVICE TRADE NAME:** COBE Cardiovascular<sup>®</sup> SMAR<sub>x</sub>T<sup>®</sup> VVR<sup>™</sup> 4000i Plus Filtered Hardshell Venous Reservoir

**COMMON/USUAL NAME:** Hardshell Venous Reservoir with Integral Cardiotomy Filter

**CLASSIFICATION NAME:** Cardiopulmonary Bypass Blood Reservoir with Defoamer and Cardiotomy Suction Line Blood Filter

**PREDICATE DEVICE:** COBE Cardiovascular<sup>®</sup> VVR<sup>™</sup> 4000 Filtered Hardshell Venous Reservoir

**DEVICE DESCRIPTION:**

The COBE SMAR<sub>x</sub>T VVR4000i Plus is a sealed hardshell venous reservoir with a defoamer and integral cardiotomy filter. It is a sterile device with non-pyrogenic fluid pathways, for single use only, and is not to be resterilized by the user. Certain blood contact surfaces of the oxygenator and the venous reservoir have been modified to improve blood compatibility, resulting in reduced platelet adhesion on the treated surfaces.

**INDICATIONS FOR USE:**

The COBE SMAR<sub>x</sub>T VVR4000i Plus Sealed Hardshell Venous Reservoir is intended to be used in adult surgical procedures requiring cardiopulmonary bypass for periods up to six hours, and for postoperative chest drainage collection and autotransfusion.

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**SMARxT VVR4000i Plus Filtered Hardshell Venous Reservoir**

**STATEMENT OF TECHNICAL CHARACTERISTICS COMPARISON:**

The COBE SMARxT VVR4000i Plus Venous Reservoir described in this submission is substantially equivalent to the unmodified version, the COBE VVR4000 Venous Reservoir. The devices are identical in design, method of operation, and fundamental scientific technology. Both devices are intended to be used in adult surgical procedures requiring cardiopulmonary bypass for periods up to six hours, and for postoperative chest drainage collection and autotransfusion. The devices differ in that the COBE SMARxT VVR4000i Plus Venous Reservoir contains a surface-modifying material that improves the blood compatibility of the device.

**TESTING TO DETERMINE SUBSTANTIAL EQUIVALENCE:**

In-vitro tests were performed to demonstrate that the COBE Cardiovascular SMARxT VVR4000i Plus Venous Reservoir described in this submission is substantially equivalent to the unmodified version, the COBE VVR4000 Venous Reservoir.



Food and Drug Administration  
9200 Corporate Boulevard  
Rockville MD 20850

DEC 11 2003

COBE Cardiovascular, Inc.  
c/o Ms. Lynne Leonard  
14401 W. 65<sup>th</sup> Way  
Arvada, CO 80004-3599

Re: K033641

COBE Cardiovascular® SMAR<sub>x</sub>T VVR™ 4000i Plus Filtered Hardshell Venous Reservoir  
Regulation Number: 21 CFR 870.4400  
Regulation Name: Cardiopulmonary Bypass Venous Reservoir  
Regulatory Class: Class II (two)  
Product Code: DTN  
Dated: November 7, 2003  
Received: November 20, 2003

Dear Ms. Leonard:

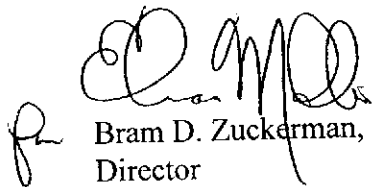
We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to such additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the Federal Register.

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801); good manufacturing practice requirements as set forth in the quality systems (QS) regulation (21 CFR Part 820); and if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050. This letter will allow you to begin marketing your device as described in your Section 510(k) premarket notification. The FDA finding of substantial equivalence of your device to a legally marketed predicate device results in a classification for your device and thus, permits your device to proceed to the market.

If you desire specific advice for your device on our labeling regulation (21 CFR Part 801), please contact the Office of Compliance at (301) 594-4646. Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21CFR Part 807.97). You may obtain other general information on your responsibilities under the Act from the Division of Small Manufacturers, International and Consumer Assistance at its toll-free number (800) 638-2041 or (301) 443-6597 or at its Internet address.

Sincerely yours,

A handwritten signature in black ink, appearing to read "Bram D. Zuckerman".

Bram D. Zuckerman, M.D.  
Director

Division of Cardiovascular Devices  
Office of Device Evaluation  
Center for Devices and  
Radiological Health

Enclosure

## Indications for Use Statement

510(k)  
Number  
(if known)

K033641

Device Name

COBE Cardiovascular® SMAR<sub>x</sub>T® VVR™ 4000i Plus Filtered  
Hardshell Venous Reservoir

Indications  
for Use

The COBE Cardiovascular® SMAR<sub>x</sub>T® VVR™ 4000i Plus Filtered  
Hardshell Venous Reservoir is intended to be used in adult surgical  
procedures requiring cardiopulmonary bypass for periods of up to six  
hours, and for postoperative chest drainage collection and  
autotransfusion.

PLEASE DO NOT WRITE BELOW THIS LINE - CONTINUE ON ANOTHER PAGE  
IF NEEDED

Concurrence of CDRH, Office of Device Evaluation (ODE)

Prescription Use ☒   
(Per 21 CFR 801.109)

OR

Over-The-Counter Use ☐

  
(Division Sign-Off)  
Division of Cardiovascular Devices

510(k) Number K033641